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PTO/SB/21 (08-00)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/072,077

Filing Date

February 7, 2002

First Named Inventor

Gallie, Daniel R.

Group Art Unit

1645 38

Examiner Name

To Be Assigned

Borum

Total Number of Pages in This Submission

4

Attorney Docket Number

023070-121500US

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## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

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Firm and Individual name

Townsend and Townsend and Crew LLP

Kevin Bastian

Reg. No. 34,774

Signature

Date

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SF 1359612 v1

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Washington, D.C. 20231



PATENT  
Attorney Docket No.: 023070-121500US  
Client Reference No.: 2002-118-1

On 7/9/02

TOWNSEND and TOWNSEND and CREW LLP

By: TREVOR Kwan

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JUL 18 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Daniel R. GALLIE and Todd E. YOUNG

Application No.: 10/072,077

Filed: February 7, 2002

For: GENERATION OF MULTIPLE  
EMBRYO MAIZE

Examiner: To Be Assigned

Art Unit: 1645

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Kevin Bastian  
Reg. No. 34,774

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415-576-0300  
KLB:trk

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Summary Sheet for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	10/072,077
Filing Date	February 7, 2002
First Named Inventor	Gallie, Daniel R.
Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	023070-121500US

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U.S. PATENT DOCUMENTS					
Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,669,042	11-18-1997	Amasino et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AB	Calderon-Urrea, A. and Dellaporta, S., "Cell death and cell protection genes determine the fate of pistils in maize," Development, 1999, pp. 435-441, Vol. 126.	
	AC	DeLong, A. et al., "Sex determination gene tasselseed2 of maize encodes a short-chain alcohol dehydrogenase required for stage-specific floral organ abortion," Cell, August 1993, pp. 757-768, Vol. 74.	
	AD	Gan, S. and Amasino, R.M., "Inhibition of leaf senescence by autoregulated production of cytokinin," Science, December 1995, pp. 1986-1988, Vol. 270	
	AE	Mena, M. et al., "Diversification of C-function activity in maize flower development," Science, November 1996, pp. 1537-1540, Vol. 274.	
	AF	Noh, Y.S. and Amasino, R.M., "Identification of a promoter region responsible for the senescence-specific expression of SAG12," Plant Molecular Biology, 1999, pp. 181-194, Vol. 41.	
	AG	Weaver, L.M., et al., "A comparison of the expression patterns of several senescence-associated genes in response to stress and hormone treatment," Plant Molecular Biology, 1996, pp. 455-469, Vol. 37.	
	AH	Young, T.E. and Gallie, D.R., "Analysis of programmed cell death in wheat endosperm reveals differences in endosperm development between cereals," Plant Molecular Biology, 1999, pp. 915-926, Vol. 39.	
	AI	Young, T.E. and Gallie, D.R., "Regulation of programmed cell death in maize endosperm by abscisic acid," Plant Molecular Biology, 2000, pp. 397-414, Vol. 42.	
	AJ	Young, T.E. et al., "Ethylene-mediated programmed cell death during maize endosperm development of wild-type and shrunken2 genotypes," Plant Physiol., 1997, pp. 737-751, Vol. 115.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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